



# Settings Rule Update

June 18, 2019

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**2014** – Initial Federal Rule Released

**March 2019** – Federal guidance issued on the Heightened Scrutiny process; the final federal review of non-compliant sites

**June 7, 2019** – Utah obtained final approval for Statewide Transition Plan

**July 1, 2020** – Deadline for providers to be compliant before becoming subject to heightened scrutiny

**March 17, 2022** – Implementation Deadline

Home  
&  
Community  
Based  
Settings  
Rule

# Statewide Transition Plan

- 5 previous revisions reviewed by CMS
- Final plan outlines:
  - process for compliance review
  - how and when public comment will be requested throughout the transition planning process
  - process to undergo changes that promote alignment with the Settings Rule

# Statewide Transition Plan

## Process for Compliance Review

- Provider self-assessment
- Remediation plans & activities
- Ongoing compliance monitoring

## Public Comment

Stakeholder workgroup that convenes periodically to provide feedback and make recommendations on each step of the process

## Process to Undergo Changes

- Rules
- Policies
- Contracts
- Waivers
- Regulatory Documents



Individual 24 month  
provision (options for  
extension)

Pre-Vocational: Working toward an  
employment goal

20% of time spent in  
integrated community  
setting



# Statewide Transition Plan

## Center Based Employment

# Stakeholder Input Process

## Focus Groups

Community Based Employment

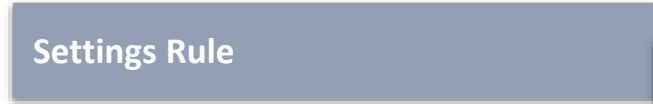
Settings Rule

## Workgroups

Settings Rule

Person Centered Support Plan Improvement

**COMING  
SOON**  
Service Design



# Moving Forward



# Additional Review Process

Site visits to validate remediation activities & whether setting is now compliant with the rule.

Includes interviews with individuals on their experience in the settings

# USU Employment & Community Integration Training & Technical Assistance Center

- Targeted technical assistance for 16 providers (60 sites)
- Resources available online
- Education opportunities for individuals and families



Thank you for  
your time and  
service!